

Dear Client,

Thank you for your interest in opening a Stock Brokerage Account with Mega Equity Securities & Financial Services Public Ltd.

Please see below supporting notes and guidance for the completion of the forms and the documents required as per the MIFID and AML guidelines. If you wish you can send us the required documents first in scan and then in original format. All requested documents must be provided in order to open an account. Failure to provide sufficient information may lead to a delay in processing your account opening application.

Physical Persons

| Forms | Should be in original format |
|---|--|
| MIFID Account opening form – Natural Person | Complete, initial on all pages and sign on page 2 – email address is mandatory |
| Self-Certification form | All fields are mandatory and must be completed. In addition fill in form W- 8 or W-9 (whatever is appropriate for you). They can be downloaded from the following website links: W-8 BEN: http://www.irs.gov/pub/irs-pdf/fw8ben.pdf W-9: http://www.irs.gov/pub/irs-pdf/fw9.pdf |
| Agreement for the Provision of Investment and Ancillary Services to Natural Persons | Complete, initial on all pages and sign on page 22 and 24 |
| Electronic Services Addendum | (Optional) - complete, Initial on all pages and sign on page 32 |
| Credits and Loans Addendum | (Optional) - complete, initial on all pages and sign on page 36 |
| Authorization and letter of indemnity for acting upon telecommunications | Initial on all pages and sign on page 38 |
| Mifid Client Categorization | Complete and sign on page 39 |
| Mifid Financial status – Retail investor | Complete, initial and sign on page 41 |
| Investments Questionnaire | Complete, initial on all pages and sign on page 45 |
| Data Subject Consent form | Complete, initial on all pages and sign on page 46 |
| Power of Attorney | (Optional) - Complete, initial on the first page and sign on second page – the signature should be certified by certifying officer in Cyprus or notary public or other competent authority abroad |
| Application for the creation of a Share Account, a Securities Account and Authorisation of Use | (Optional) to open account with the Cyprus Stock Exchange – complete, initial on the first page and sign on second page |
| Application for the creation of Investor Account, Securities Account and Usage Authorisation | (Optional) to open account with the Athens Stock Exchange – complete and sign |
| | |
| Documents | |
| <ul style="list-style-type: none"> - Certified true copy (by accountant/lawyer/certifying officer) of passport (foreigners or local residents) or ID (local residents only) - Recent utility bill within 3 months (no P.O. Box address is accepted) | |

*** Please sign only in blue**

